omics-I2C intro



Commercializing Innovative Genomic Tools - My Story

omics $\cdot I_2C$ trek

Outline

INNOVATION TO COMMERCIALIZATION





OPERATING SYSTEM



LEADERSHIP

omics•I2C trek

¹Innovation to Commercialization





INNO ATION IS

...but not without its **I**₂**C**^cchallenges

The heroic I_2C journey

verification

preparation

frustration

incubation

creative c re

finding the creative core to resolve **I**₂**C** challenges

illumination

(aka breakthrough)

strategizing

Stanford biz school professor

Michael Ray's "Everyday Hero" & "Creativity in Business"

... & this transformational path also parallels Joseph Campbell's mythical process in

"The Hero with a Thousand Faces."

2017 Alan K. Hauser

WHY

- for Patients

WHAT

- Bio • **I** ₂ **C** [^]

^Innovation to Commercialization



WHO

- Bio•Com Developer

HOW

- Leadership



WHO

- Bio•Com Developer

WHAT

- Bio • **I** ₂ **C** ^

[^]Innovation to Commercialization

WHY

- for Patients

HOW

- Leadership

Bio·I₂C

WHY

getting the patient the right drug at the right dose at the right time

based on the patient's unique genetic makeup



Precision Care for Patients

WHY

- for Patients

WHAT

- Bio • **I** ₂ **C** ^

^Innovation to Commercialization



WHO

Bio•ComDeveloper

HOW

- Leadership

Bio Com Developer

WHO



Product Planning



Prospecting & Appraising



Promoting & Partnering

WHY

- for Patients



WHAT

- Bio • **I**₂**C**

WHO

- Bio•Com Developer

HOW

- Leadership

Bio Biz Developer

WHAT

Bridging the **I**₂**C** divide from bench to bedside ...



Academic research



... by driving strategic multi • omics

product planning + collaborative alliances



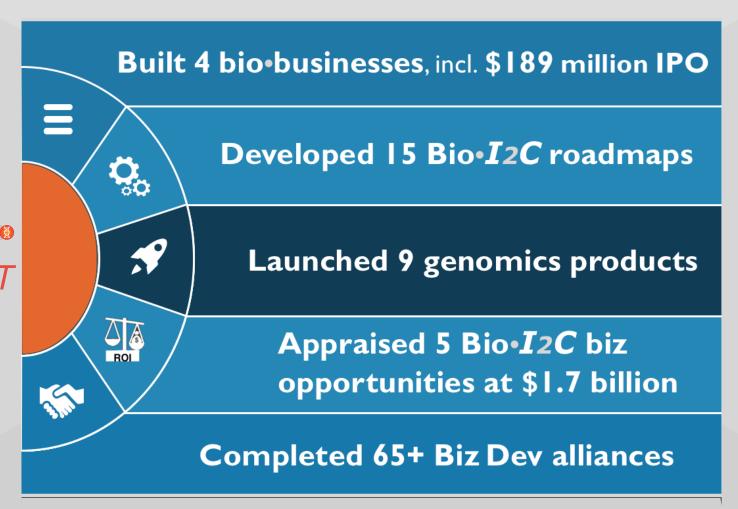
for the patient

Bio·I₂C Partnering





My Bio•Innovation to Commercialization (*I₂C*) Wins



*See 'Employment' section *II.* for more details

WHY

- for Patients

WHAT

- Bio • **I** ₂ **C** ^

[^]Innovation to Commercialization



HOW

- Leadership*

WHO

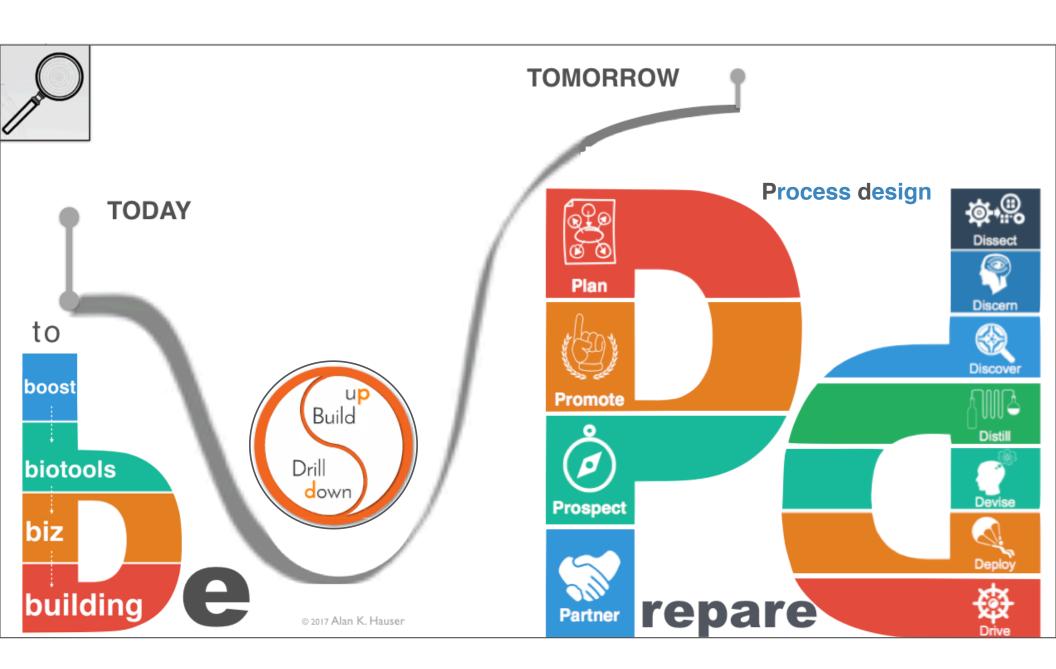
- Bio•Com Developer

*See 'Operating
System' & 'Leadership' sections ||. & |||.
for more details

Bio•*I*₂*C* Leadership HOW



BiZ Dev Process by Design



Synergistic value creation ...

WHY

- for Patients

WHAT

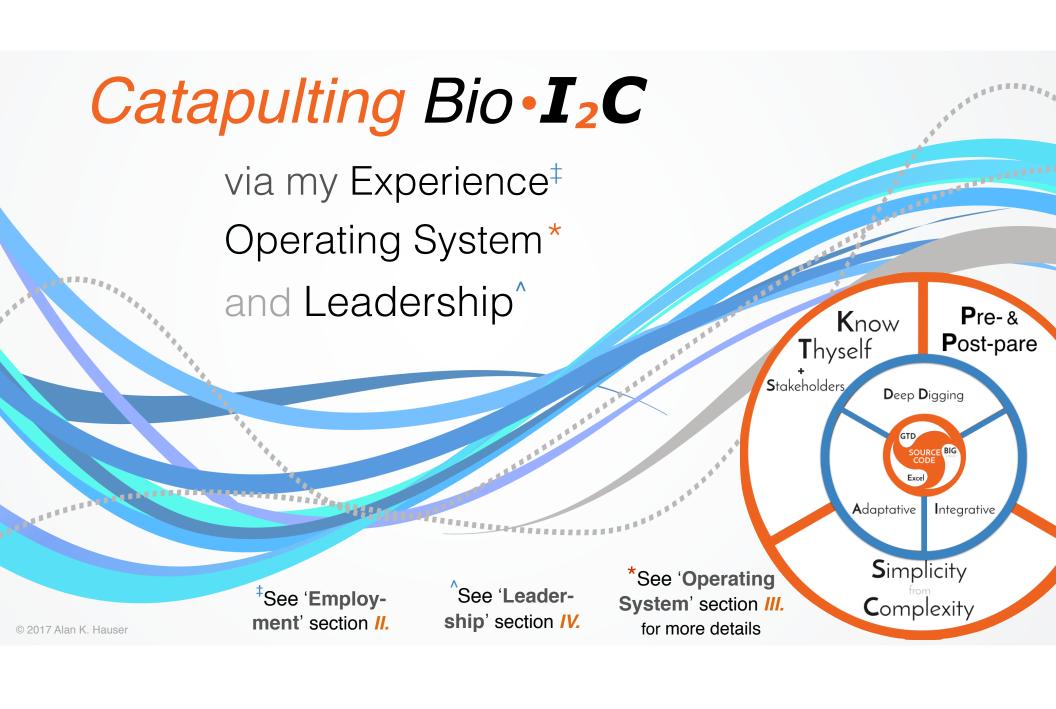
- Bio • **I** 2 **C**



Bio•ComDeveloper

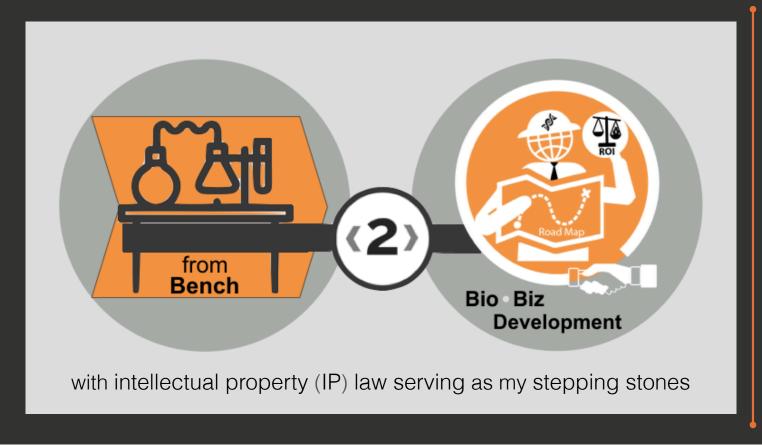
Leadership

... where "the whole is greater than the sum of its parts" - Aristotle



Bio•I₂C Trek

Backstory





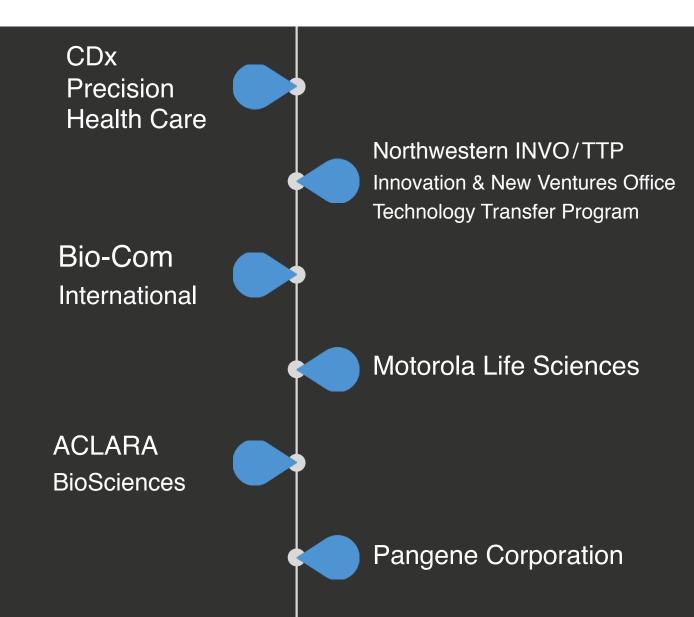
11.

I2C Employment



Work History Highlights







Bio•Business Development

Better Biz Dev
deals by splitting
\$250 M savings
for CDx stratifiedclinical trials

To prevent corporate bullying,

analyzed pharmaco-economics

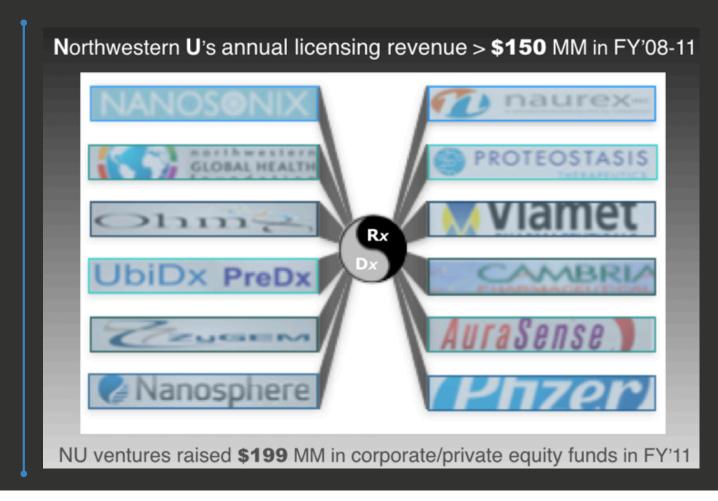
for omics-CDx test providers to ---



NU Innovation & New Ventures Office

Executive Director

Inspired excellence, drove results & added value as *I₂C* team leader & mentor



Bio-Com International

Strategic Commercial Development

Navigated corporate cross-functional **silo** communication challenges:







I₂C Assessment

ROI Valuation

Translated Bio I_2 C value to be > \$65 million



Financial Forecasting



Creative Problem Solving



Collaborative Partnering



MLS eSensor

Biotools Commercial Development

Addressed seemingly insurmountable BD licensing issue:

Negotiated multi-million dollars of cost savings to access biomarker IP

MLS CodeLink

Biotools Commercial Development

Won (A)

BRAVO!

Award

Championed Gx/Rx-discovery

initiative, **created** biz-plan, **closed BD deal**`

Strategic Roadmapping + Execution



Pipeline Planning



Platform Positioning



Project Marshaling



ACLARA BioSciences

Built strong microfluidics lab-on-a-chip intellectual property portfolio for biopharma drug / genomics discovery, diagnostics and sequencing applications enabling partnerships, private placement, plus \$189 million IPO.



Pangene Corporation

Executed strategic alliances &
licenses to Nobel-prizing winning
homologous DNA recombination IP
& spearheaded 1st corporate B2B
product development partnership

III.

Operating System

- a deeper dive



Guiding Principals

Know thy Stakeholders as well as Self

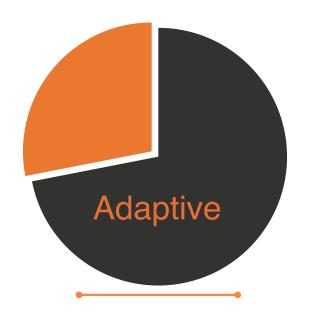
Walk in other side's shoes to create, develop, and nurture winning solutions Be Preand Post-Pared

Apply zoom visioning and process design to obtain optimal outcomes

Move from Complexity to Simplicity

Distill down to the essential steps to resolve problems

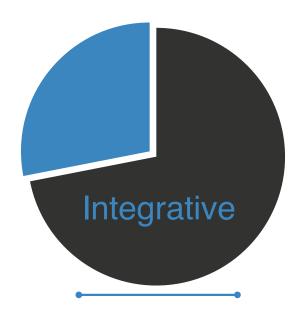
Core Capabilities



Use different styles to reach diverse audiences

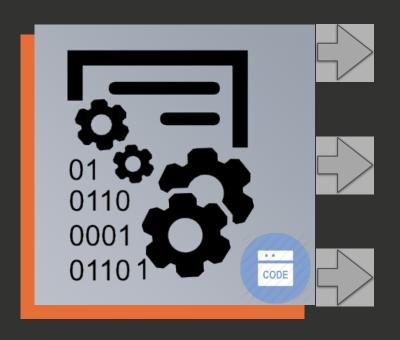


Drive Bio • I₂C and create value as a workhorse



Connect dots for optimal outcomes

Source Code



Envision Audaciously

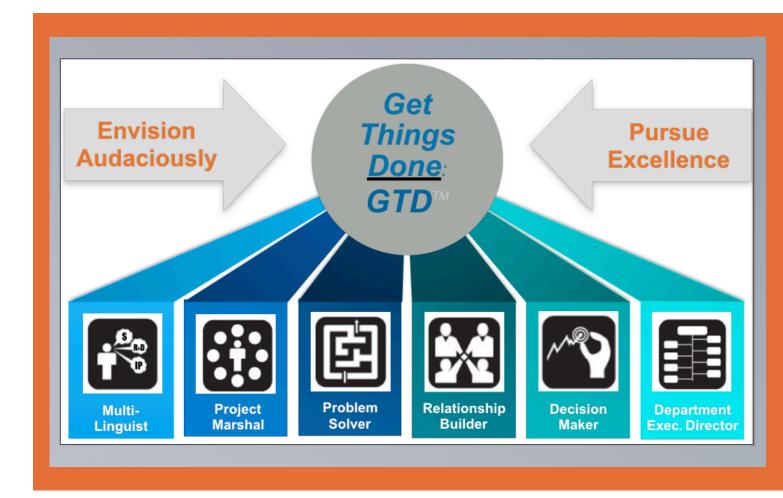
Pursue Excellence

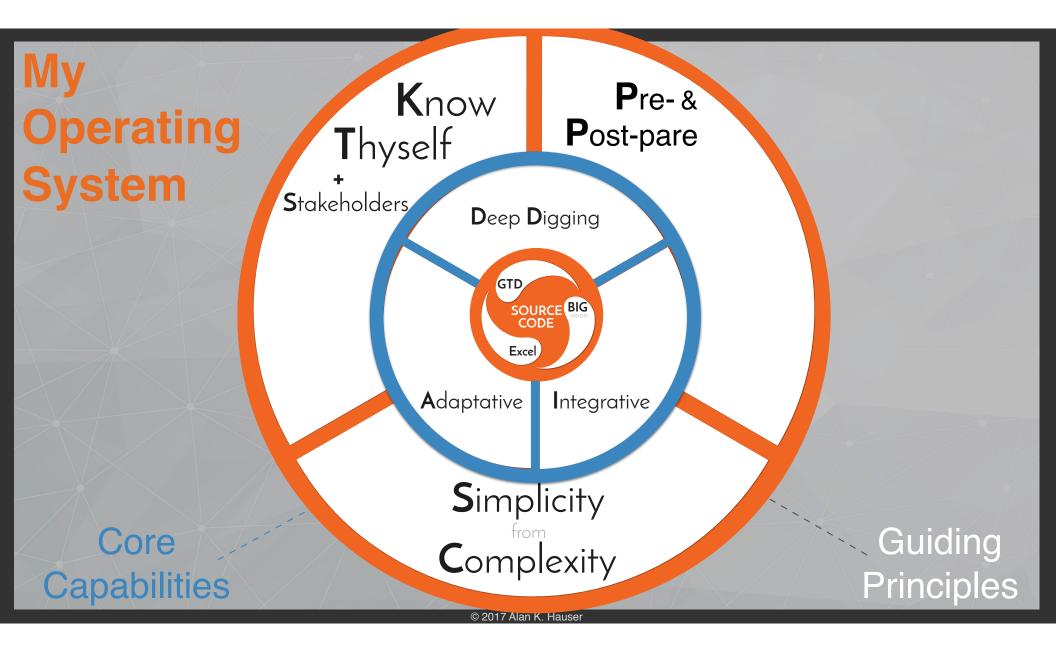
Get Things Done

Source Code

+

Cross-Functional Com • Dev Leadership Capabilities





IV.

Leadership

- a deeper dive



Highlights from my 360 Assessment

Resolute **I**₂**C** Leader[‡]





Challenge

Results

Accuracy



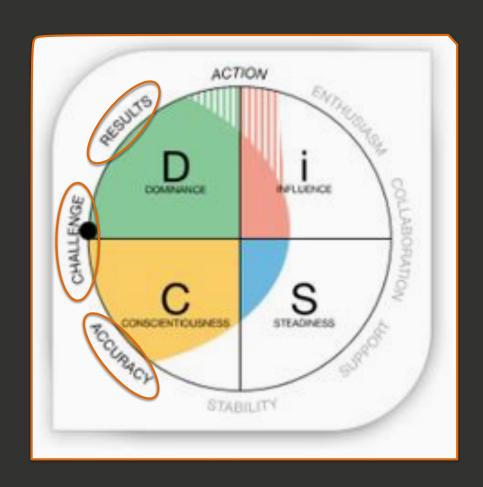
[‡]DiSC Assessment

'DC' DISC Indicator Style

RESOLUTE Leader

Creatively Develops
System Solutions

Inquisitive & not afraid to *challenge* status quo to get better *results*



A BIO-BIZ DEVELOPER'S DEEP DIVE INTO LEADERSHIP

Inter-Personal Skills

Coaching/ Mentoring; Listening Personal Capability

Innovation; Networking Character

Honesty & Integrity

Sets Clear Direction

Communication

Results
Orientation

Takes Initiative;
Marshaling

Alan K. Hauser

Leadership
Behaviors +
Competencies

Zenger | Folkman's

360 Assessment
in 2013

Feedback from colleagues, & direct reports to assess:

5 Leadership Behaviors

+ 18 Differentiating Competencies,

with my top 8 ranked & illustrated to the left,

as part of the

American Chemical Society

(ACS) Leadership
Development System

*See 360
Leadership
Assessment
section on the
About page for
more details

I2C Biz Dev Drivers



Situational Resolute

Leader

with

I C E & Grit

omics-I2C trek



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